



(Fm)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI  
Serial No. : 09/871,805  
Filed : June 4, 2001  
Title : LIGHT EMITTING DEVICE

Art Unit : 2879  
Examiner : Dalei Dong  
Conf. No. : 1978

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

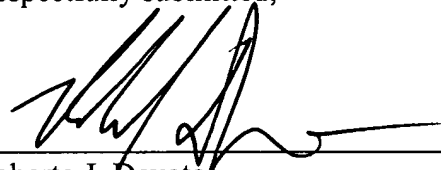
Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an Information Disclosure Statement filed January 19, 2006 (courtesy copy enclosed).

Applicants' records show that this Information Disclosure Statement complied with 37 CFR 1.97. Thus, we respectfully request that the Examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by **October 19, 2006**, so the Examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: \_\_\_\_\_

9/15/06

  
\_\_\_\_\_  
Roberto J. Devoto  
Reg. No. 55,108


Fish & Richardson P.C.  
1425 K Street, N.W.  
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**COPY**

<b>For PTO Use Only</b> <i>Do Not Mark in This Area</i>			
Attorney's Docket No. 12732-049001	Client Reference No. US4963	Mailing Date January 19, 2006	
Application No. 09/871,805	Filing Date June 4, 2001	Attorney/Secretary Init JFH/RXD/adf	
Title of the Invention LIGHT EMITTING DEVICE			
Applicant Shunpei YAMAZAKI			
Enclosures			
<input checked="" type="checkbox"/> Information Disclosure Statement (1 page) <input checked="" type="checkbox"/> Form PTO-1449 (1 page)			

108





Attorney's Docket No.: 12732-049001 / US4963

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**COPY**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

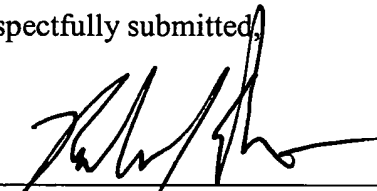
INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

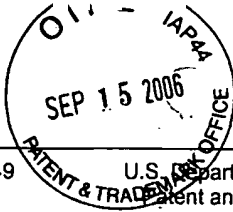
This statement is being filed before the receipt of a first Office Action on the merits after the filing of a RCE. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/19/06

  
\_\_\_\_\_  
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40322545.doc



Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-049001	Application No. 09/871,805
	Applicant Shunpei YAMAZAKI		
	Filing Date June 4, 2001	Group Art Unit 2879	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2005-0140280	06/30/2005	YAMAZAKI et al.			01/31/2005
	AB	6,641,933	11/04/2003	YAMAZAKI et al.			09/21/2000
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.